

18 NCAC 07J .0419 CONTENT OF DISCIPLINARY ACTION DISCLOSURES

For each disciplinary action listed pursuant to Rule .0418 of this Section, a technology provider shall disclose:

- (1) the date of each disciplinary action;
- (2) the disciplinary action taken;
- (3) a copy of each disciplinary action;
- (4) if not included in the copy of the disciplinary action provided:
 - (a) the reason given for the disciplinary action;
 - (b) an explanation of the circumstances that led to the disciplinary action; and
 - (c) the name of the issuing entity;
- (5) where the disciplinary action included any corrective action or conditions:
 - (a) whether the applicant or key individual has complied with the corrective actions or conditions; and
 - (b) the date on which compliance with the corrective actions or conditions was satisfied;
- (6) whether the applicant or key individual would be eligible for relicensure or recommissioning; and
- (7) other information that the applicant wishes to include in order to aid the Department in assessing the application.

History Note: *Authority G.S. 10B-4; 10B-106; 10B-125(b); 10B-126; 10B-134.15; 10B-134.17; 10B-134.19; 10B-134.21; 10B-134.23;*
Eff. July 1, 2025.